



CFA INSTITUTE INVESTMENT FOUNDATIONS™ PROGRAM FOR CERTIFIED PUBLIC ACCOUNTANTS

Gain a Clear Understanding of the Industry Essentials and Earn 36.5 NASBA CPE Credits

THE BIG PICTURE

The Investment Foundations Program is a global education certificate program designed to give financial services professionals a clear understanding of the essentials of the investment industry.

The Investment Foundations Program is approved for Certified Public Accountants (CPAs) who want to gain a clear understanding of the global investment industry and earn 36.5 CPE credits in the finance field of study under the NASBA (US) continuing education program. Learn more at www.cfainstitute.org/nasbacpe.

PREREQUISITES AND CERTIFICATE PROGRAM STRUCTURE

There is no education or experience requirement for the Investment Foundations Program. No advanced preparation is needed.

Program Level: Beginner
Delivery Method: Self-Study

To earn the Investment Foundations certificate and be awarded 36.5 NASBA CPE credits, candidates must take and pass two exams in sequence: (1) the NASBA CPE exam and (2) the Investment Foundations exam.

- The NASBA CPE exam is a multiple choice exam that can be taken online prior to and in preparation for the Investment Foundations exam.
- The Investment Foundations exam is a multiple choice, computer-based exam that can be taken at many Pearson VUE test centers around the world.

Candidates must schedule and pass both exams within 180 days after registration to earn the Investment Foundations certificate and CPE credits.

REGISTRATION

Registration is available at:

www.cfainstitute.org/programs/investmentfoundations/register.

For more information regarding refund, complaint and/or cancellation policies, please go to:

www.cfainstitute.org/investmentfoundationspolicies.

LEARNING OBJECTIVES

The Investment Foundations certificate is a self-study, modular program that requires approximately 100 hours of study time and covers the fundamentals of the investment industry across seven course modules:

Module 1: Industry Overview

Module 2: Ethics and Regulation

Module 3: Inputs and Tools

Module 4: Investment Instruments

Module 5: Industry Structure

Module 6: Serving Client Needs

Module 7: Industry Controls

HOW MUCH DOES IT COST?

INDIVIDUAL PRICE US\$250

NOTE: The price includes access to all CFA Institute Investment Foundations exam study materials and the following:

- NASBA CPE Exam—there are no limits on the number of attempts.
- Investment Foundations exam—one sitting only.

We encourage you to talk with your employer about taking advantage of our corporate pricing program.

LEARN MORE ABOUT THE INVESTMENT FOUNDATIONS PROGRAM

Explore program benefits, sample chapters, timelines, fees, and more at: cfa.is/InvFound.

CFA Institute is registered with the National Association of State Boards of Accountancy (NASBA) as a sponsor of continuing professional education on the National Registry of CPE Sponsors. State boards of accountancy have the final authority on the acceptance of individual courses for CPE credit. Complaints regarding registered sponsors may be submitted to the National Registry of CPE Sponsors through its website: www.learningmarket.org.

WHAT IS THE REWARD?



Bring clarity to complexity

Develop a deeper understanding of the investment industry with a global education program that gives you a strong foundation for working in a complex and dynamic profession.



Share a common understanding

Raise your professional competence by understanding industry functions, relationships, and your role and responsibilities in the big picture.



Improve your performance

Improve your performance by gaining a common global standard of the industry and sharing a common body of knowledge, whether you work in New York, London, Mumbai, or Hong Kong.



Stand out from your peers

Gain a fundamental framework and knowledge of the investment industry so you stand out from the crowd in a competitive industry.



Build your confidence

Feel more confident working and communicating with global colleagues and clients by understanding the industry and how your role impacts the rest of the business.