



HELPING CLIENTS BUILD AND PROTECT VALUE

- Identify, prioritize and help clients achieve goals and objectives
- Offer independence and objectivity
- Provide an integrated suite of services using a team of highly skilled, experienced professionals in taxation, estate planning and investments
- Deliver excellent service and frequent communication
- Follow a systematic, disciplined approach to investing
- Serve as fiduciaries
- Educate you about investments and matters of personal finance
 - Provide services for fees only, no commissions
 - Are relationship, not transaction oriented
 - Offer reasonable fees

Our relationships will also enable you to keep your all-in costs and fees at reasonable levels.

Is RubinBrown Advisors a registered investment advisor?

RubinBrown Advisors is registered with the Securities and Exchange Commission as an investment advisor.

How does RubinBrown Advisors protect my personal information?

RubinBrown Advisors adheres to strict privacy and confidentiality guidelines. To read RubinBrown Advisors Privacy Policy, visit www.RubinBrownAdvisor.com.

Where are my investments held?

RubinBrown Advisors custodies all client assets at third-party companies like Charles Schwab, T.D. Ameritrade, Pershing and SEI Private Trust Company. In addition to being audited annually, none of these entities engages in risky proprietary trading or investment banking.

How does RubinBrown Advisors get paid?

As independent advisors:

- We work for you.
- We do not sell investment products.
- We do not receive commissions on investment recommendations or manage a proprietary portfolio.
- Our success is directly tied to your success.

We are generally paid a percentage of the assets for which we provide investment advisory services. Fees vary by the amount of client assets on which we consult.

RubinBrown Advisors may only transact business in any state if we are first registered, excluded or exempted from the applicable registration requirements.

Follow-up, individual responses or rendering of personalized investment advice for compensation will not be made absent compliance with applicable state registration requirements or an applicable exemption or exclusion.

Mission

RubinBrown helps its clients build and protect value, while at all times honoring the responsibility to serve the public interest.

Vision

RubinBrown is comprised of inspired team members, working as one firm that is highly respected and nationally prominent, delivering totally satisfied clients.

Core Values

- Superior Quality & Service
- Devotion to the People of RubinBrown
- Teamwork
- Objectivity & Integrity
- Competence
- Devotion to our Community & Profession
- Innovation & Continuous Improvement
- Vision
- Having Fun

About RubinBrown

Founded in 1952, RubinBrown LLP is one of the nation's leading accounting and professional consulting firms. The RubinBrown name and reputation are synonymous with experience, integrity and value. RubinBrown provides many services that are grounded in professional vision and understanding of our clients' goals:

- Assurance
- Benefit Plan Audits
- Business Advisory
- Business Improvement
- Business Reorganization & Financial Restructuring
- Cyber Security
- Enterprise Risk Management
- Entrepreneurial Services
- Fraud & Forensics
- Information Technology Risk
- Information Technology Services
- Litigation
- Mergers & Acquisitions
- Public Company & Capital Formation
- Private Client Services
- Research & Experimentation Tax Credit
- Risk Services
- State & Local Tax
- Tax Compliance & Consulting
- Valuation

RubinBrown redefines the full-service experience by combining technical and industry expertise with a commitment to personal and high-level relationships.

RubinBrown provides expert accounting and consulting services to many industries, but we focus our specialization in:

- Colleges & Universities
- Construction
- Gaming
- Healthcare
- Law Firms
- Life Sciences & Technology
- Manufacturing & Distribution
- Not-For-Profit
- Private Equity
- Public Sector
- Real Estate
- Transportation & Dealerships

horizons

Published twice a year, *Horizons* offers in-depth analysis on business topics and industry matters.



Guides and Statistical Analysis

Thought leadership and market research are provided annually to assist our clients benchmark their own results.

E-Focus Newsletters

Breaking regulatory updates, technical summaries and industry information sent electronically through newsletters.

Seminars

From our year-end tax and accounting updates to industry-specific education, we offer events to help you learn and connect with other businesses.

For more information, visit www.RubinBrown.com/Resources

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Kansas City

2020 Kansas City Business Journal Book of Lists

#2

Las Vegas

2018 Vegas Inc.

#1

St. Louis

2020 St. Louis Business Journal Book of Lists



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